Receipt date: 09/01/2006

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## IAP9 Rec'd PCT/PTO 01 SEP 2006

#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96218

Yoshiaki KOJIMA

Appln. No.: National Stage of PCT/JP2005/003285

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: September 1, 2006

Examiner: Not yet assigned

For:

INFORMATION RECORDING METHOD AND INFORMATION RECORDING

**APPARATUS** 

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Stage of PCT/JP2005/003285 dated June 21, 2005), together with an English-language

Receipt date: 09/01/2006

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: National Stage of PCT/JP2005/003285

Attorney Docket No. Q96218

version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: Japanese Unexamined Patent Publication No. 6-131706 is cited and discussed on page 2 of the specification of the present application. Additionally, English-language abstracts constitute concise statements of relevance for JP-A-11-283283; JP-A-2002-367178 and JP-A-6-131706.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

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WASHINGTON OFFICE

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Date: September 1, 2006

Respectfully submitted,

Darryl Mexic

Registration No. 23,063

10/591750 IAP9 Rec'd PCT/PTO 01 SEP 20 10591750 - GAU: 2627 2006 PODIFIED PTO/SB/08 A & B (08-03) Receipt date: 09/01/2006

Complete if Known National Stage of Substitute for Form 1449 A & B/PTO PCT/JP2005/003285 Application Number **INFORMATION DISCLOSURE** Confirmation Number Not yet assigned September 1, 2006 STATEMENT BY APPLICANT Filing Date First Named Inventor Yoshiaki KOJIMA (use as many sheets as necessary) Art Unit Not yet assigned Not yet assigned **Examiner Name** Attorney Docket Number

1

Sheet

Q96218

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Publication Date			
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Documen		
		US					
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Examiner Initials*	Cite No.1	FOREIGN PA Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JP	11-283283	A	10-15-1999	SONY CORP.	Abstract
		JP	2002-367178	A	12-20-2002	PIONEER ELECTRONIC CORP.	Abstract
		JP	06-131706	A	05-13-1994	PIONEER ELECTRON CORP.	Abstract
		1					

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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	Examiner Signature	/Aristotelis Psitos/	Date Considered	/Aristotelis Psitos/
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of